

The Persistent Identifier (PID) for Research Projects

**Chorus Forum** 

06 June 2023

PRESENTED BY

Shawn Ross Natasha Simons

Siobhann McCafferty Matthias Liffers





















































Supporting NCRIS Capabilities









# ARDC STRATEGY





#### **PURPOSE**

To provide Australian

advantage through data.

researchers with competitive



#### MISSION

To accelerate research and innovation by driving excellence in the creation, analysis and retention of high-quality data assets.



#### Connecting the ARDC

- Communications
- Engagements
- Skills & Workforce Development
- Data Policies



Accelerating research insights and supporting collaboration

- Platforms for Analysis & Curation
- Research Software



# Maximising the value of Australia's data assets

- Data Assets
- Information
   Infrastructure
- Data Capability



## Providing foundation infrastructure

- Research
   Computing Cloud
- Data Retention

AUSTRALIA'S NATIONAL RESEARCH DATA COMMONS

## What is RAiD?

## A RAiD is a persistent identifier for...

- Research projects and activities, linking organisations, people, inputs, and outputs to a project and providing key project information found nowhere else
- RAiD is governed by <u>ISO Standard 23527:2022</u>
  - The ARDC is the international Registration Authority
  - The ARDC is also a Registration Agency focusing on Australasia

#### A RAiD is not for...

- Grants
- Researchers
- Durable organisations or organisational units (teams, centres, groups, departments, etc.)
- Documents, papers, articles, books, recordings, or other digital objects
- Software or datasets
- Instruments
- Samples or specimens





## How does RAiD work?

A RAiD has two parts: The **RAiD identifier** and the **RAiD metadata record**.

RAiD uses the Handle system to create **globally unique**, **persistent identifiers**. The Handle is like the address on an envelope, while the metadata record makes up its contents.

The **metadata record** includes other PIDs for various project inputs and outputs like:

- Collaborators (people)
- Organisations (institutions)
- Grants, awards, and investments
- Infrastructure, tools, instruments, and services
- Data and software
- Publications, reports, and events

Where necessary, the RAiD metadata record captures project information found nowhere else, such as a project's title, description, and subject. Many RAiD elements can be time-bound. Relationships between elements can be described or qualified.







## **Example RAiD metadata record**

https://raid.org/13.1010/463UQDMR



Title (primary) Lorem Ipsum Project

Title (acronym) LIP

**Start date** 2023-01-30

**Description (short)** Lorem ipsum dolor sit amet, consectetur adipiscing elit. Maecenas vitae condimentum nisl, eget ornare felis. Morbi pretium erat eu ultrices interdum.



Principle investigator orcid.org/0000-0002-3843-0000

**Role** https://credit.niso.org/contributor-roles/conceptualization/

**Co-investigator** orcid.org/0000-0002-3639-2080

**Role** https://credit.niso.org/contributor-roles/data-curation/



**Lead organisation** ror.org/00rqy9422

Partner organisation ror.org/03b94tp07



**Grant** doi.org/10.8948/908234D93EAF

Dataset doi.org/10.1594/PANGAEA.726855

Article doi.org/10.1038/nphys1170

Instrument doi.org/10.1337/jdlc-tima



Sample XXAB000IH



Local storage uq.edu.au/114/32 Cloud storage 79.152.127.243









## Why a Project ID?

## **Projects are where research happens**

- Reflects collaborative practices while accommodating sole researchers
- Time-limited but identifiable and meaningful 'container'

## **Projects are not grants**

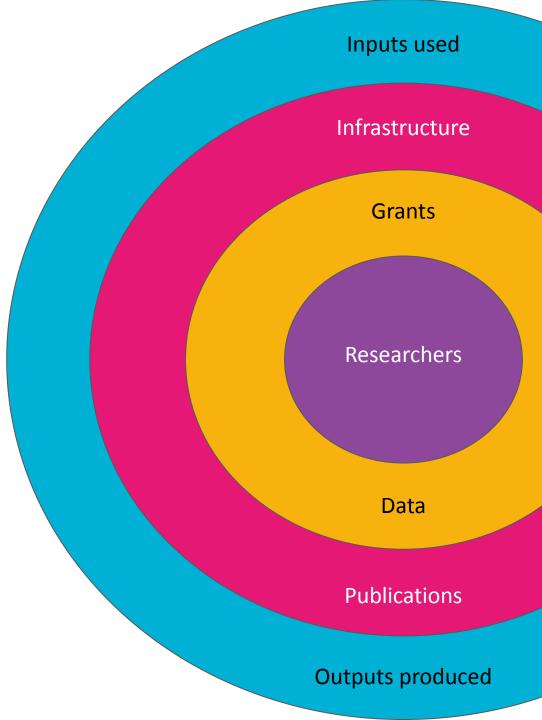
- Not 1:1 some projects never grants, others have many grants
- RAiD captures longer-term outputs, outcomes, and impacts grants close, but projects may produce outputs for many years

## **Projects evolve**

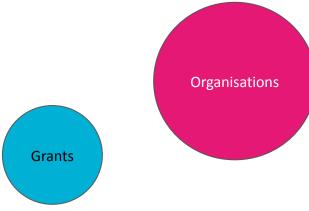
- PIDs aimed at stable digital artifacts provide 'snapshots' but projects change continuously
- RAiD metadata is designed to evolve over time as contributors, organisations, etc., change, producing a history of the project

#### Projects are where research can be administered

- Common concept in Research Information Systems
- Appear in other PIDs
- Appear in domain-specific metadata standards

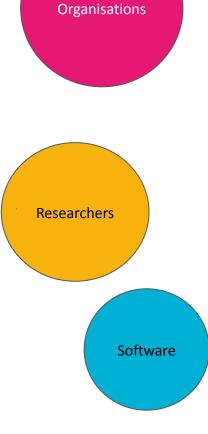


## **Research components**



#### **Entities**

- Researchers
- Organisations
- Data
- Software
- Publications
- Grants
- Samples
- Instruments
- Services







- Uses (infrastructure)
- Is funded by (grant)
- Creates (dataset)
- Hosted by (organisation)



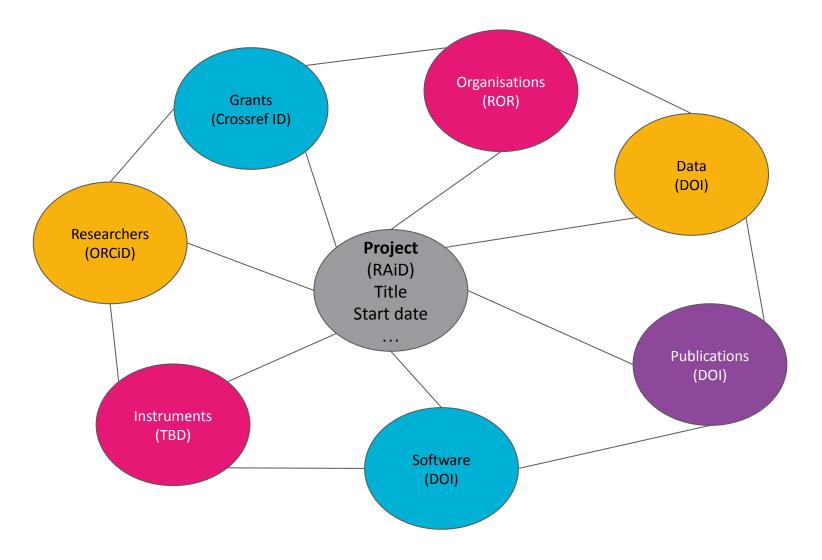






## The 'project' as nexus of research inputs and outputs

- Project has Persistent ID
- Project components (people, organisations, inputs, outputs)
   in metadata record using PIDs
- Additional project information captured when necessary
- Research actions and project changes reflected in history







## What are the problems with current practice?

## Information about projects is distributed and siloed

- Partly in institutional Current Research Information Systems (CRISes)
- Sometimes in other university systems (e.g., finance)
- Other information on project websites or staff profiles
- Lots of double-entry of data

## Output, outcome, and impact tracking is hard

- Longer timeframes not accommodated by grant reporting
- Project outcomes not fully captured by individual ORCID records

## Project metadata not routinely or comprehensively captured

- Project-level metadata often required by data repositories, but may be incomplete
- Project information often maintained in an ad-hoc manner, sometimes lost
- Important for output provenance (e.g., datasets, publications)

#### No standardisation

- Information about projects, where it exists, is non-standard
- Often not machine readable





## What are the benefits of using RAiD?

## Provides a 'single source of truth'

- Reduces double-entry of data
- Ensures coordination across organisations
- Saves time on administration and reporting

## **Supports reporting and impact measurement**

- Facilitates tracking and reporting of inputs and outputs
- Grants insights into investments and outputs
- Collects evidence for understanding impact
- Facilitates better strategic intelligence on outcomes
- Supports better tools for analysis and decision-making

## **Captures research provenance**

- Captures the evolution and history of a project
- Create a timeline of (inter)actions
- Comprehensive record of project make-up

## Standardising project identification

Governed by an ISO standard





## What is the potential efficiency impact?

## Estimate of active research projects in any given year

- 50k projects in the UK
- 21k projects in Australia
- 625k projects in the US
- 1.5M projects in the OECD

## In Australia, elimination of double-entry of project metadata could save approximately:

- 2.9k person-days per year
- AUD \$2.7M per year

## Combined with publication and grant PIDs, could save approximately:

- 37.9k person-days per year
- AUD \$23.8M per year

**Source:** Brown, Josh, Jones, Phill, Meadows, Alice, & Murphy, Fiona. (2022). *Incentives to invest in identifiers: A cost-benefit analysis of persistent identifiers in Australian research systems*. Zenodo.





2022 —	Gearing up  ISO certification Re-development Business analysis
2023 —	Prototyping
Q1-2	Extended ARDC RAiD service Explore co-development and reimplementation
2023 —	Iterating
Q3-4	Extended RAiD beta release Policy and governance Model deployment
2024 —	Growth
	Expand RAiD use and adoption

## Where are we now?

- Done: ISO certification with ARDC as Registration Authority and multiple Registration Agencies
- Done: Redevelop existing ARDC service while gathering requirements and consulting with stakeholders
- In progress: Extend service with new metadata schema, landing pages, updated API, new user interface, improved integration with other PIDs.
- In progress: RAiD Registration Agency handbook encapsulates policy and governance
- Future: International outreach and engagement to drive uptake







Subscribe to the **ARDC CONNECT** newsletter

# **THANK YOU**

- ardc.edu.au
- contact@ardc.edu.au
- +61 3 9902 0585
- @ARDC\_AU
- in Australian-Research- Data-Commons



