



# Open Access and Scholarly Communications at the University of Melbourne in 2024

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# 2024年 メルボルン大学における オープンアクセスと学術コミュニケーション

Justin Shearer, Associate Director, Research Information & Engagement  
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The University of Melbourne acknowledges the Traditional Owners of the unceded land on which we work, learn and live: the Wurundjeri Woi-wurrung and Bunurong peoples (Burnley, Fishermans Bend, Parkville, Southbank and Werribee campuses), the Yorta Yorta Nation (Dookie and Shepparton campuses), and the Dja Dja Wurrung people (Creswick campus).

The University also acknowledges and is grateful to the Traditional Owners, Elders and Knowledge Holders of all Indigenous nations and clans who have been instrumental in our reconciliation journey.

We recognise the unique place held by Aboriginal and Torres Strait Islander peoples as the original owners and custodians of the lands and waterways across the Australian continent, with histories of continuous connection dating back more than 60,000 years. We also acknowledge their enduring cultural practices of caring for Country.

We pay respect to Elders past, present and future, and acknowledge the importance of Indigenous knowledge in the Academy. As a community of researchers, teachers, professional staff and students we are privileged to work and learn every day with Indigenous colleagues and partners.

# Research at Melbourne

*“At the leading edge of discovery, understanding and impact, through research”*

*– Discovery Theme, Advancing Melbourne 2030*

**\$685.6m**



annual research income in 2023

**\$6m**



awarded to early career researchers by ARC

**14,000+**



scholarly outputs produced annually

**9,000+**



experts making a positive impact worldwide

**100+**



research centres and institutes

**\$54m**



recently new partnership between CPT and the University of Bonn

**#1** ranked university in Australia



**#13** ranked university in the world (QS)



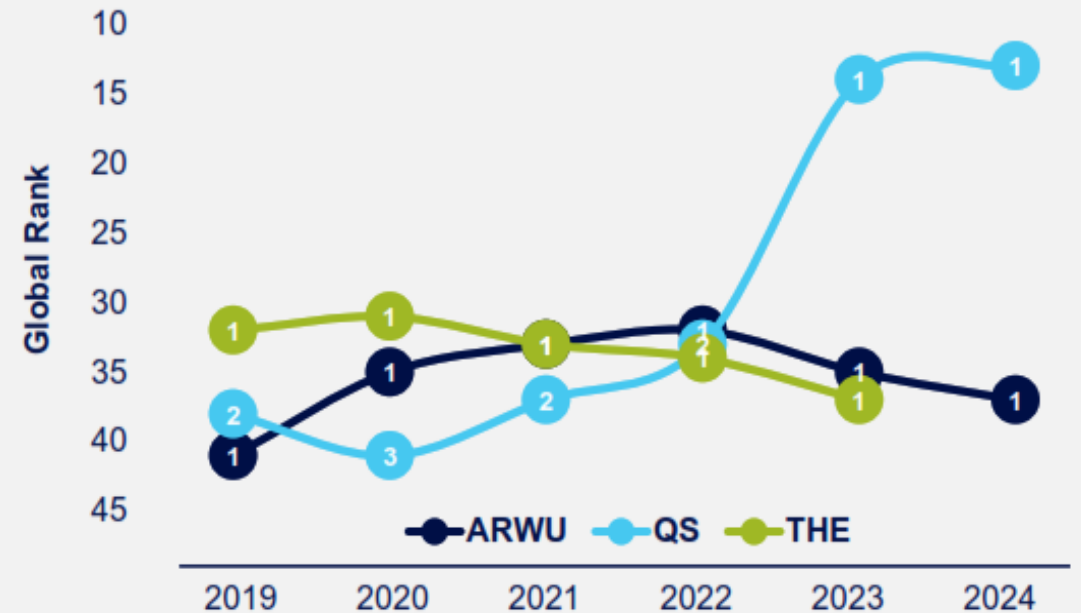
**#1** in Australia on ‘Highly Cited Researchers’ (2023)



**14** subject areas in the top 20 internationally (QS)



University of Melbourne National Ranking, 2019 to 2024



# Some benefits of open access



remove financial barriers to research



reach a wider audience and get more exposure



available to practitioners



greater potential to impact policy



inclusive of researchers around the world



compliant with funder open access policies (including ARC & NHMRC)

Dimensions	citations (mean)	field citation ratio (FCR)
closed	22.5	3.66
open access	32.9	4.81



greater impact within and beyond academia

Altmetric	% of papers with attention (social media, news, policy, etc.)
closed	62.1 %
open access	76.5 %

Data extracted from Dimensions on 2/1/2024 for 2015-2022 UoM-affiliated research articles and review articles. Here, open access includes publisher and repository pathways (gold, hybrid, green).

# オープンアクセスの利点



研究に対する経済的障壁を取り除く



より多くの人にリーチし、より多くの認知を得る



実務家が利用可能



政策に影響を与える可能性の拡大



世界中の研究者が参加できる



研究助成機関のオープンアクセス・ポリシー適合 (including ARC & NHMRC)

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# Global comparison: 2024 CWTS Leiden Ranking



## Group of Eight Universities

Institution	Total publications	OA publications	Percentage OA
University of Melbourne	41,131	27,901	67.8%
Australian National University	15,101	10,000	66.2%
University of Western Australia	18,514	11,330	61.2%

## North America

Institution	Total publications	OA publications	Percentage OA
Harvard University (USA)	94,615	71,029	75.1%
Johns Hopkins University (USA)	48,956	36,469	74.5%
University of Washington - Seattle (USA)	42,146	30,883	73.3%

## Europe

Institution	Total publications	OA publications	Percentage OA
University College London (UK)	47,986	43,595	90.8%
University of Oxford (UK)	47,332	41,965	88.7%
Sorbonne University (France)	33,231	28,722	86.4%

**#2**  
in Oceania

**#167**  
in the world

when ranked by  
% OA, across all  
institutions with  
>10k outputs\*

\* Only examines  
journal articles.

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# Principles for Open Access to Research Outputs at Melbourne

Updated  
July 2023

Principles for Open Access to  
Research Outputs at Melbourne

1. The University of Melbourne is committed to disseminating its research as widely as possible to improve the public good by accelerating the pace of discovery, encouraging innovation, enriching education, and stimulating the economy.



[library.unimelb.edu.au/  
open-scholarship/principles](http://library.unimelb.edu.au/open-scholarship/principles)

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## Position of University of Melbourne

1. The University of Melbourne is committed to disseminating its research as widely as possible to improve the public good by accelerating the pace of discovery, encouraging innovation, enriching education, and stimulating the economy.
2. **Research outputs** should be shared in a timely and accessible manner. This includes research outputs created by both academic staff and graduate researchers.\*
3. The University supports multiple pathways to **open access**, including:
  - a. Depositing research outputs to **repositories**;
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## Expectations of researchers

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# メルボルン大学における 研究成果のオープンアクセス化原則

Updated  
July 2023

Principles for Open Access to  
Research Outputs at Melbourne

1. メルボルン大学は、新しい発見を推進し、イノベーションを奨励し、教育を豊かにし、経済を活性化することによって公共の利益を向上させるため、その研究成果を可能な限り広く普及させることに取り組む。



[library.unimelb.edu.au/  
open-scholarship/principles](http://library.unimelb.edu.au/open-scholarship/principles)

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## Position of University of Melbourne

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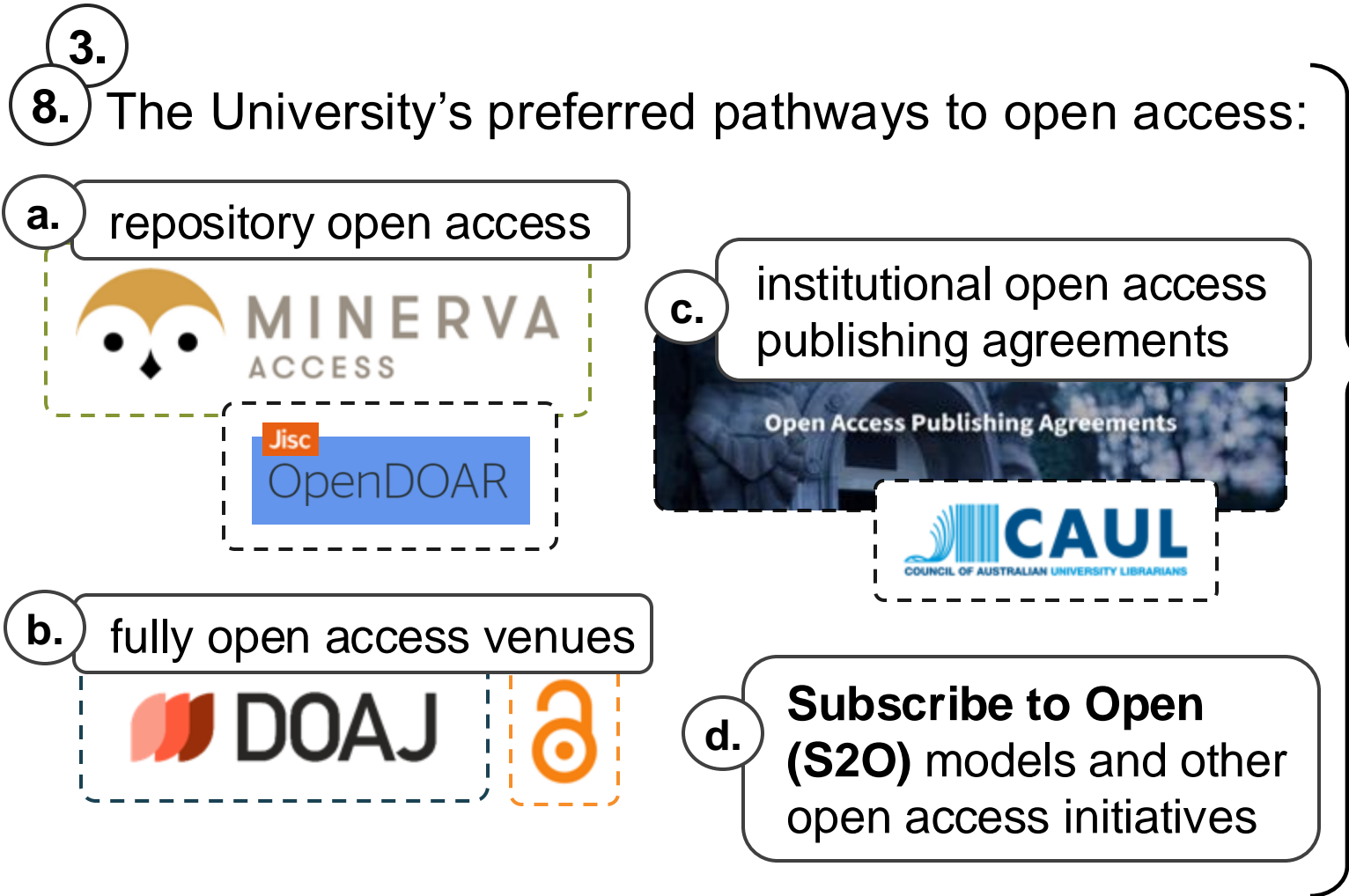
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# Principles for Open Access to Research Outputs at Melbourne



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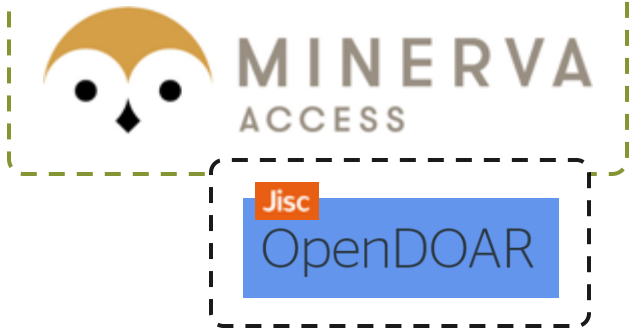
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# メルボルン大学における 研究成果のオープンアクセス化原則

3.  
8. 大学が奨励するオープンアクセス化への経路：

a. repository open access



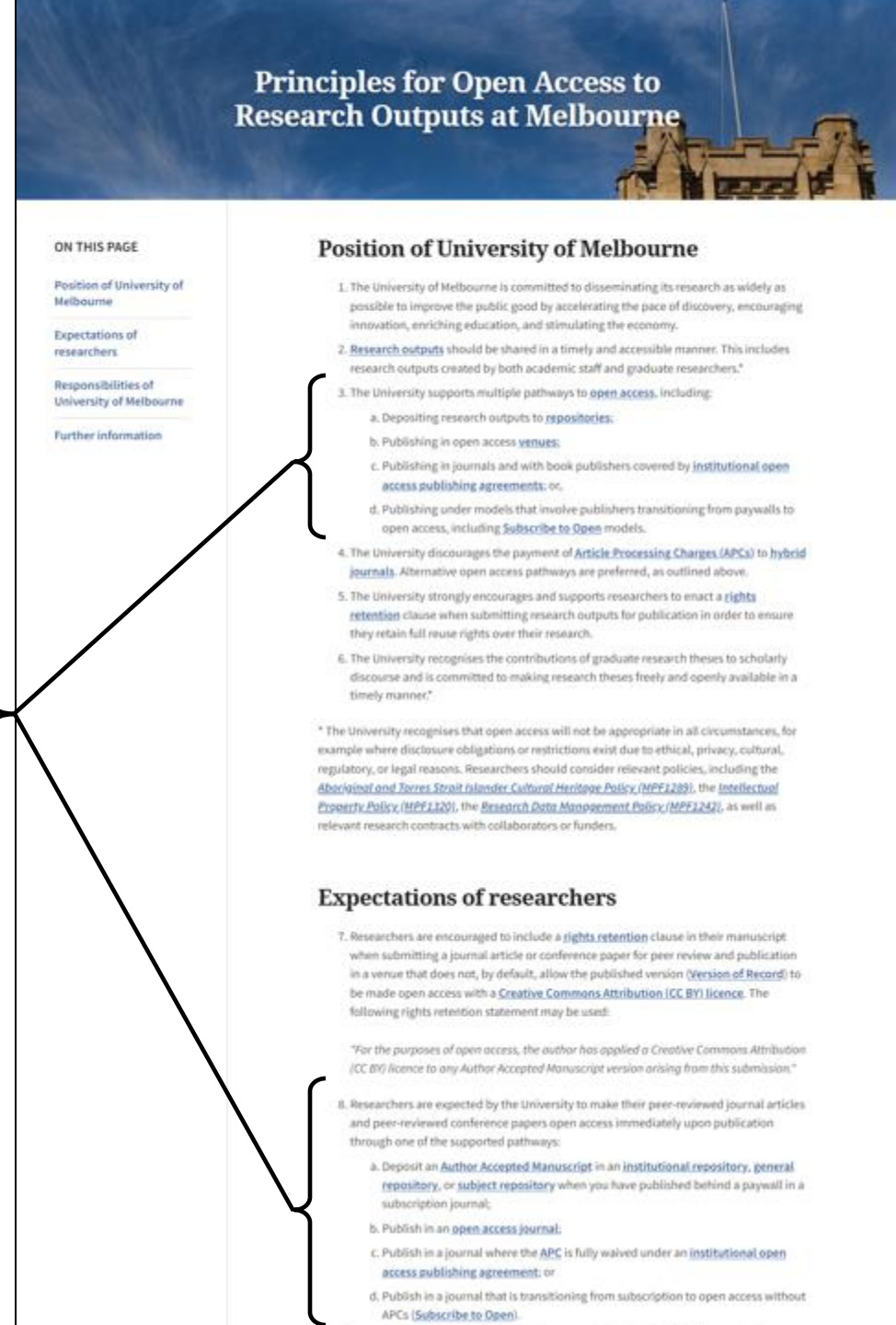
b. fully open access venues



c. institutional open access publishing agreements



d. **Subscribe to Open (S2O)** models and other open access initiatives



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### Position of University of Melbourne

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# Principles for Open Access to Research Outputs at Melbourne

5. The University strongly encourages the use of rights retention statements upon manuscript submission.

9. Researchers are expected to use Creative Commons Attribution (CC BY) licences where appropriate.

10. Graduate researchers are expected to make their research thesis publicly available via the University's institutional repository unless otherwise agreed with the University.



Responsibilities of University of Melbourne

Further information

3. The University supports multiple pathways to open access, including:
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9. Researchers should apply a Creative Commons Attribution (CC BY) licence to their research outputs where appropriate, as endorsed by the Australian Research Council (ARC) and the National Health and Medical Research Council (NHMRC). Where this licence cannot be applied, researchers should select the least restrictive licensing option that is appropriate for governing the future use of their research.
10. Graduate researchers are expected to make their research thesis publicly available via the University's institutional repository unless otherwise agreed with the University, and to make their thesis available within the University via the repository in all but exceptional cases. Note that the University's right to publish and share a thesis is irrevocable and cannot be overridden by a private publication agreement.
11. The University acknowledges and values the breadth in types of research outputs and encourages researchers to make them open access where possible. This includes books, book chapters and [non-traditional research outputs](#). The University recognises there are challenges associated with making such research output types accessible, due to the variety of forms these can take and issues such as copyright. Support and guidance on all forms of open scholarship are available via [the Library](#).
12. As under [Research Data Management Policy \(MPF1242\)](#), researchers are encouraged to publish research data and records to disciplinary, institutional, or other established repositories to allow reuse by other researchers and maximise the value of research,

# メルボルンにおける 研究成果のオープンアクセス化原則

5. 原稿提出時に権利保持に関する声明を使用すること  
7. を強く推奨。



9. 研究者は適宜クリエイティブ・コモンズ  
(CC BY) ライセンスを使用。

10. 大学院生は、本学と別段の取り決めがない限り、  
本学の機関リポジトリで研究論文を公開。

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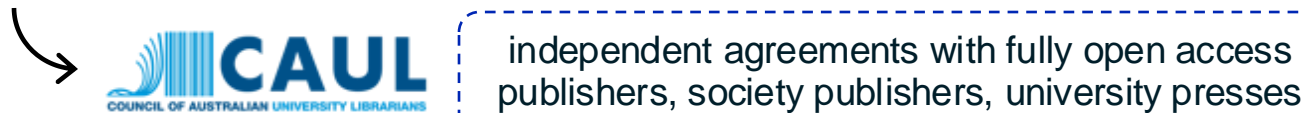
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15. The University will provide the infrastructure and associated support necessary to increase the openness of research outputs via repositories.



16. The University will pursue institutional open access publishing agreements with a focus on long term sustainability and equity.



17. The University will support scholar-led and community-developed open access initiatives in order to support a diverse scholarly communications ecosystem.



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- 14. Researchers are encouraged to share [preprints](#) in reputable preprint servers or repositories, using open licensing, to facilitate early access to research.

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- 17. The University will support scholar-led and community-developed open access initiatives in order to support a diverse scholarly communications ecosystem.
- 18. The University will provide training and guidance to support researchers to adopt open research practices.

## Further information

More information on open access can be found on the [Open Scholarship](#) website, where open access pathways are covered on our [Open Access Publishing](#) and [Repository Open Access](#) pages. A full glossary of terms relating to open access can be found on our [Definitions](#) page.

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The Principles for Open Access to Research Outputs at Melbourne were last updated on 25 July 2023, following endorsement by University Executive and Academic Board.

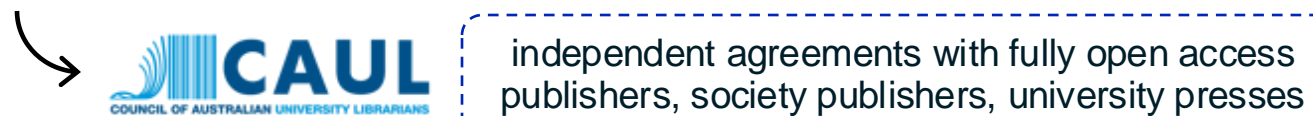
[Return to Open Scholarship](#)

# メルボルン大学における研究成果のオープンアクセス原則

15. 大学は、リポジトリを通じて研究成果のオープン性を高めるために必要なインフラと関連サポートを提供。



16. 大学は、長期的な持続可能性と公平性に焦点を当て、オープンアクセス出版契約を進める。



17. 大学は、多様な学術コミュニケーション・エコシステムを支援するため、研究者主導およびコミュニティが開発するオープンアクセス・イニシアチブを支援します。



- c. Publish in a journal where the APC is fully waived under an institutional open access publishing agreement; or
- d. Publish in a journal that is transitioning from subscription to open access without APCs ([Subscribe to Open](#)).
- 9. Researchers should apply a Creative Commons Attribution (CC BY) licence to their research outputs where appropriate, as endorsed by the Australian Research Council (ARC) and the National Health and Medical Research Council (NHMRC). Where this licence cannot be applied, researchers should select the least restrictive licensing option that is appropriate for governing the future use of their research.
- 10. Graduate researchers are expected to make their research thesis publicly available via the University's institutional repository unless otherwise agreed with the University, and to make their thesis available within the University via the repository in all but exceptional cases. Note that the University's right to publish and share a thesis is irrevocable and cannot be overridden by a private publication agreement.
- 11. The University acknowledges and values the breadth in types of research outputs and encourages researchers to make them open access where possible. This includes books, book chapters and non-traditional research outputs. The University recognises there are challenges associated with making such research output types accessible, due to the variety of forms these can take and issues such as copyright. Support and guidance on all forms of open scholarship are available via [the Library](#).
- 12. As under [Research Data Management Policy \(MPF1242\)](#), researchers are encouraged to publish research data and records to disciplinary, institutional, or other established repositories to allow reuse by other researchers and maximise the value of research, unless prevented by ethical or legal obligations.
- 13. As under [Research Integrity and Misconduct Policy \(MPF1318\)](#), researchers must foster transparency in research publication where appropriate, including through practices such as preregistering research plans or protocols.
- 14. Researchers are encouraged to share preprints in reputable preprint servers or repositories, using open licensing, to facilitate early access to research.

## Responsibilities of University of Melbourne

- 15. The University will provide the infrastructure and associated support necessary to increase the openness of research outputs via repositories.
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[Return to Open Scholarship](#)



# Open access publishing agreements



Our current agreements are almost all Read and Publish agreements

These have been replacing libraries' subscription (read-only) agreements with publishers, with the new agreements including both read access and (some) open access publishing.



The Council of Australian University Librarians (CAUL) has been leading the negotiation of R&P agreements with publishers

When an offer has been finalised, CAUL offers it to member libraries. When evaluating offers, the University of Melbourne Library considers publisher reputation and esteem, cost to Library budgets, UoM publishing trends, coverage limitations, caps, and more.



The Library continues to explore other open access initiatives

Including agreements with open access publishers, supporting open access infrastructure, and participating in Subscribe to Open models.

# オープンアクセス出版契約



私たちの現在の契約は、ほぼすべてが「Read & Publish」契約です。  
These have been replacing libraries' subscription (read-only) agreements with publishers, with the new agreements including both read access and (some) open access publishing.



オーストラリア大学図書館員協議会（CAUL）が出版社とのR&P契約の交渉を主導してきました。

When an offer has been finalised, CAUL offers it to member libraries. When evaluating offers, the University of Melbourne Library considers publisher reputation and esteem, cost to Library budgets, UoM publishing trends, coverage limitations, caps, and more.



図書館は、他のオープンアクセス・イニシアチブも模索し続けています。

Including agreements with open access publishers, supporting open access infrastructure, and participating in Subscribe to Open models.

# 2024 open access publishing agreements



**uncapped**  
open access *and* hybrid journals

**ACM**

**ASME**

**Brill**

*selected journals only*

**Cambridge University Press**

**Cold Spring Harbor Lab Press**

**Company of Biologists**

**CSIRO Publishing**

**IOP Publishing**

**Microbiology Society**

**Portland Press**

*(Biochemical Society)*

**Royal Society**

**uncapped**  
open access journals

**Cogitatio Press**

**uncapped**  
hybrid journals

**APA Publishing**

**De Gruyter**

*selected journals only*

**Future Science Group**

**Geological Society**

*(Lyell Collection)*

**John Benjamins**

**Sage Publishing**

**capped**  
open access *and* hybrid journals

**Wiley**



cap reached  
30 September

**capped**  
hybrid journals

**AIP Publishing**

**Elsevier**

*excluding Lancet and Cell Press journals*

**Oxford University Press**

**Springer Nature**

*excluding Nature journals*



cap  
reached  
22 October

**Taylor & Francis**

*selected journals only*



cap  
reached  
26 October

*in all cases, limits and exclusions apply*

# 2024 オープンアクセス出版契約



uncapped

open access *and* hybrid journals

**ACM**

**ASME**

**Brill**

*selected journals only*

**Cambridge University Press**

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**Company of Biologists**

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**IOP Publishing**

**Microbiology Society**

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*(Biochemical Society)*

**Royal Society**

uncapped

open access journals

**Cogitatio Press**

uncapped

hybrid journals

**APA Publishing**

**De Gruyter**

*selected journals only*

**Future Science Group**

**Geological Society**

*(Lyell Collection)*

**John Benjamins**

**Sage Publishing**

capped

open access *and* hybrid journals

**Wiley**



cap reached  
30 September

capped

hybrid journals

**AIP Publishing**

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*excluding Lancet and Cell Press journals*

**Oxford University Press**

**Springer Nature**

*excluding Nature journals*



cap  
reached  
22 October

**Taylor & Francis**

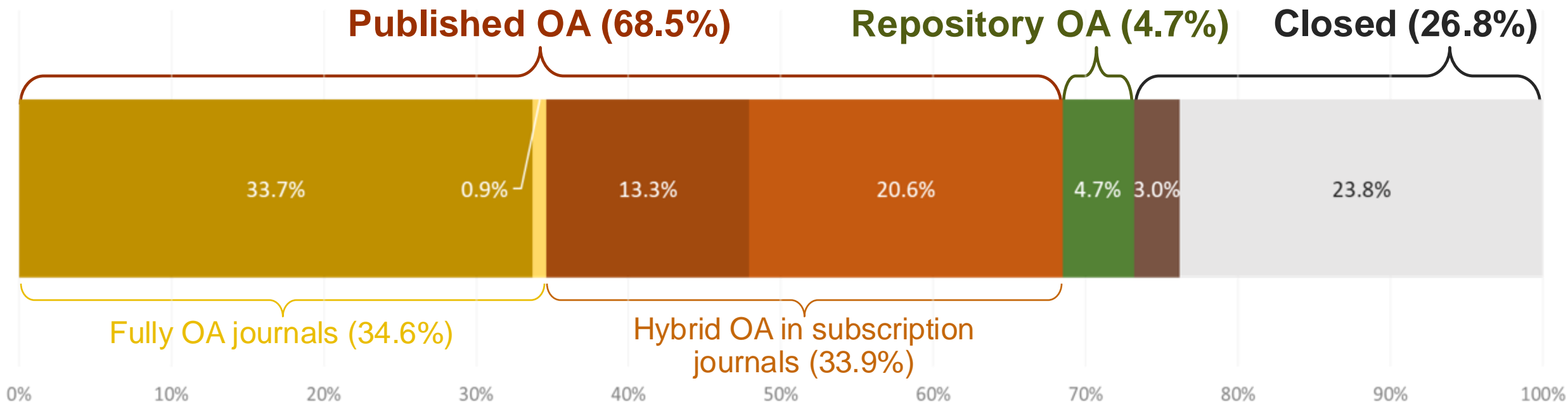
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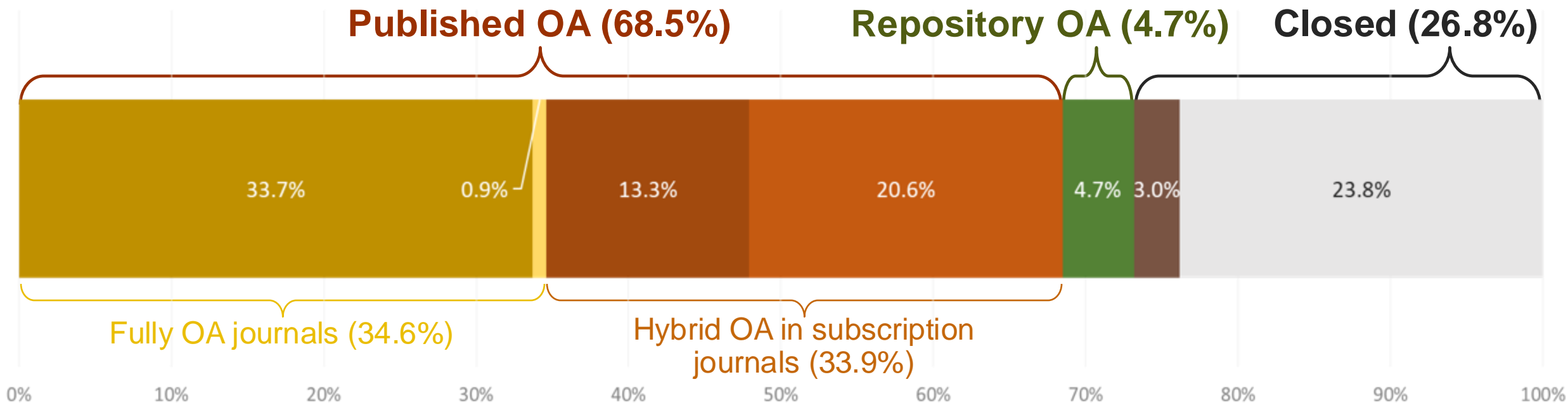
# Breakdown of 2023 University of Melbourne Research and Review Articles by OA Status



■ Gold OA (not via CAUL) ■ Gold OA (via CAUL R&P) ■ Hybrid OA (not via CAUL) ■ Hybrid OA (via CAUL R&P) ■ Repository OA ■ Free to Read ■ Paywalled

*Dimensions data exported 26 July 2024, reflecting published 'research' and 'review' journal articles, with ≥1 UoM author, published in 2023 (10,596 articles in total).  
CAUL Tableau coverage data exported 26 July 2024. 'Via CAUL' above includes coverage via our own agreements and those signed by other institutions.*

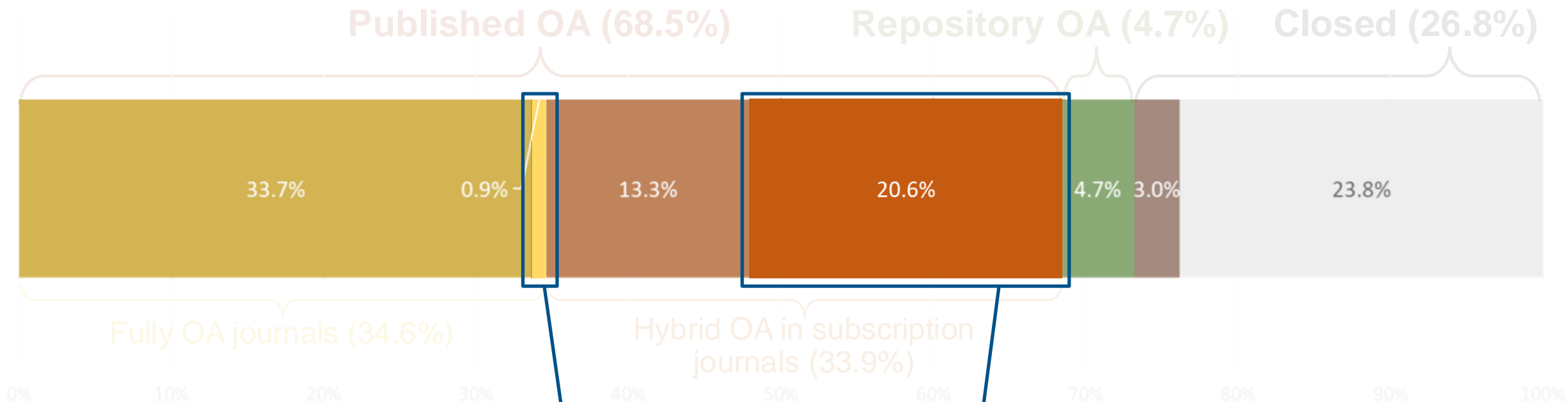
# 2023年 メルボルン大学 研究・レビュー論文のOA区分の内訳



■ Gold OA (not via CAUL) ■ Gold OA (via CAUL R&P) ■ Hybrid OA (not via CAUL) ■ Hybrid OA (via CAUL R&P) ■ Repository OA ■ Free to Read ■ Paywalled

Dimensions data exported 26 July 2024, reflecting published 'research' and 'review' journal articles, with ≥1 UoM author, published in 2023 (10,596 articles in total).  
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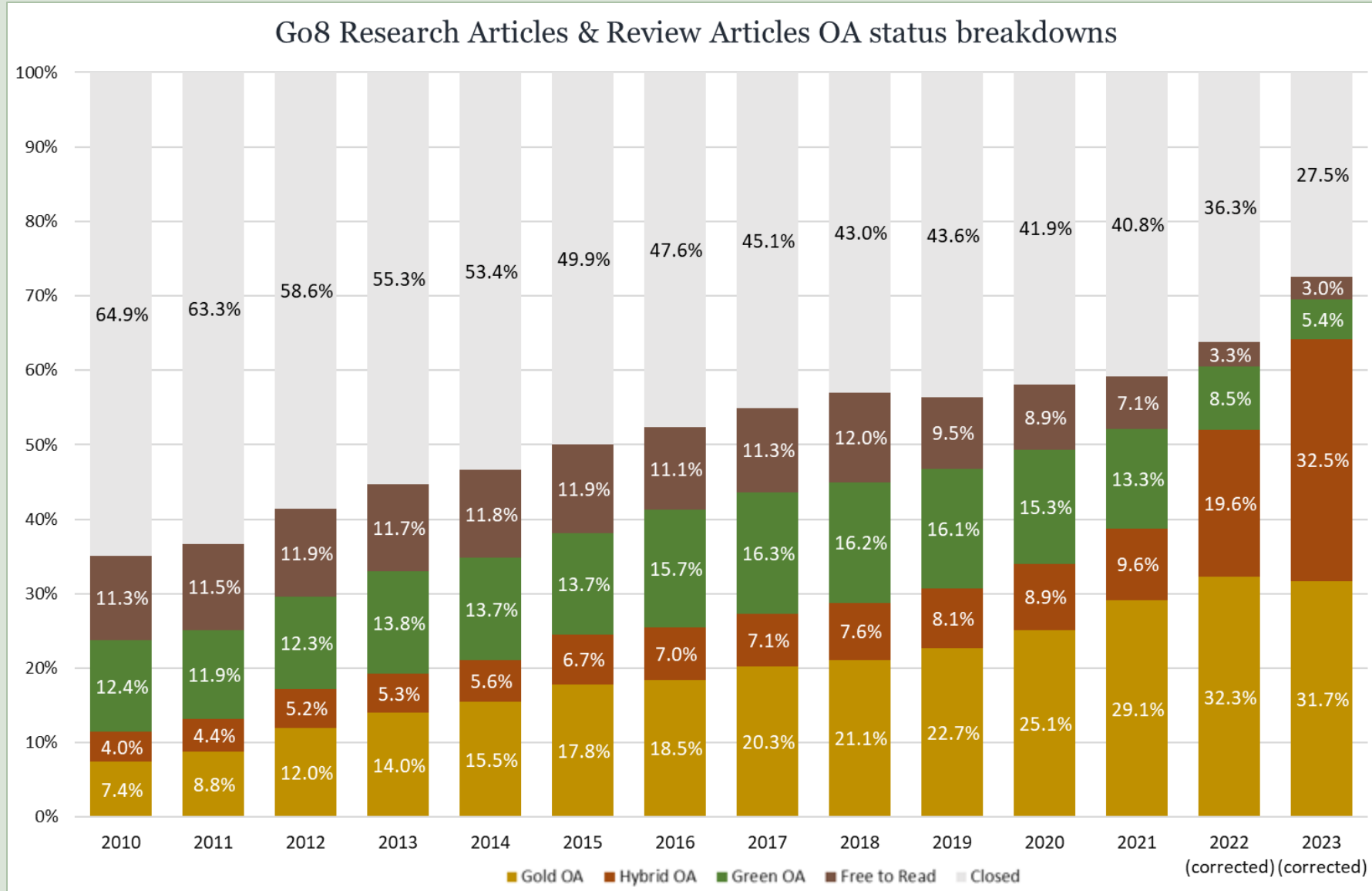


 **21.5% published open access via CAUL R&P agreements**

■ Gold OA (not via CAUL) ■ Gold OA (via CAUL R&P) ■ Hybrid OA (not via CAUL) ■ Hybrid OA (via CAUL R&P) ■ Repository OA ■ Free to Read ■ Paywalled

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# Analysis from the Go8 OA Working Group

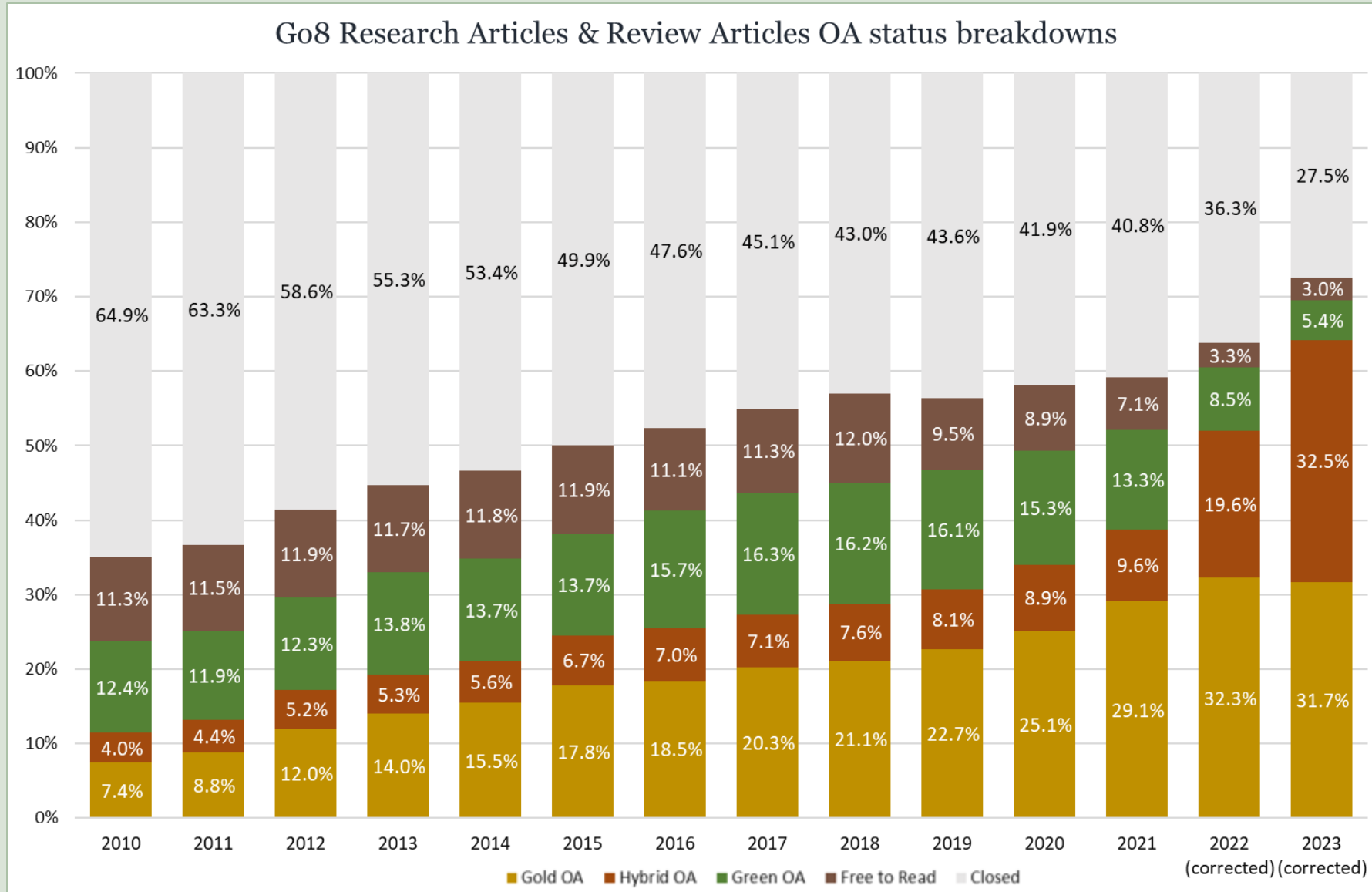


The impact of CAUL R&P agreements is most evident in the Hybrid OA ‘explosion’ in 2022 and 2023.

There had been a steady increase in the proportion of Gold OA articles, but 2023 data shows the first year-on-year decrease.



# Go8 OAワーキンググループの分析



CAUL R&P契約の影響は、2022年と2023年のハイブリッドOAの「爆発的増加」が最も顕著。

。ゴールドOA論文の割合は着実に増加していたが、2023年は初めて前年比で減少。

# Other open access initiatives we're supporting in 2024 (not an exhaustive list)



Subscribe to Open  
with UoM support

*all authors avoid APCs for  
open access publishing*

**Annual Reviews**

**Berghahn**  
*Open Anthro*

**Mathematical  
Sciences Publishers**

**Practical Action  
Publishing**

open access  
book publishing

supporting diverse models of  
open access book publishing

**JSTOR**

*Path to Open*

**Knowledge Unlatched**

**Language Science Press**

**MIT Press**

*Direct to Open*

**Open Book Publishers**

other open access  
initiatives we support

supporting open infrastructure,  
including via SCOSS and KU

**arXiv**

**Directory of Open Access  
Journals (DOAJ)**

**Dryad**

**Research Organization Registry  
(ROR)**

**Sponsoring Consortium for Open  
Access Publishing in Particle  
Physics (SCOAP3)**

# 2024年に支援したその他のオープンアクセス・イニシアチブ (一部)



Subscribe to Open  
with UoM support

*all authors avoid APCs for  
open access publishing*

**Annual Reviews**

**Berghahn**  
*Open Anthro*

**Mathematical  
Sciences Publishers**

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other open access  
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supporting open infrastructure,  
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**arXiv**

**Directory of Open Access  
Journals (DOAJ)**

**Dryad**

**Research Organization Registry  
(ROR)**

**Sponsoring Consortium for Open  
Access Publishing in Particle  
Physics (SCOAP3)**



# Scholarly Communications

Open Scholarship

*including definitions and further information*

[library.unimelb.edu.au/open-scholarship](http://library.unimelb.edu.au/open-scholarship)

[scholarly-comms@unimelb.edu.au](mailto:scholarly-comms@unimelb.edu.au)



# 学術コミュニケーション オープン・スカラーシップの定義 と詳細情報

[library.unimelb.edu.au/open-scholarship](https://library.unimelb.edu.au/open-scholarship)

[scholarly-comms@unimelb.edu.au](mailto:scholarly-comms@unimelb.edu.au)